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PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

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UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. 109140-0005U

First Inventor Rick Castanho et al.

Title SYSTEM AND METHOD FOR SERVICE SPECIFIC
NOTIFICATION

Express Mail Label No. EL705608724US

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 25]
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 2]
5. Oath or Declaration [Total Pages 4]
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for a continuation/divisional with Box 18 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATIONS PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☒ 37 C.F.R. §3.73(b) Statement ☒ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No: _____ /

Prior application information: Examiner _____

Group / Art Unit:

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

(Insert Customer Number or Bar Code Label here)

or ☐ Correspondence address below

Name	Edwin H. Paul					
	PATENT TRADEMARK OFFICE					
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	88 Black Falcon Avenue					
City	Boston	State	MA	Zip Code	02210	
Country	U. S. A.	Telephone	(617) 951-2500	Fax	(617) 951-3927	

Name (Print/Type)	Edwin H. Paul	Registration No. (Attorney/Agent)	31,405
Signature	Edwin H. Paul	Date	November 5, 2001

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re The Application of:)
Rick Castanho et al.)
Serial No.: Not yet assigned) Examiner: Not yet assigned
Filed: Herewith) Art Unit: Not yet assigned
For: SYSTEM AND METHOD FOR)
SERVICE SPECIFIC NOTIFICA-)
TION)
Cesari and McKenna, LLP
88 Black Falcon Avenue
Boston, MA 02210
November 5, 2001

EXPRESS-MAIL DEPOSIT

“Express Mail” Mailing-Label Number: EL705608724US

The following papers are being deposited with the United States Postal Service
“Express Mail Post Office to Addressee” service pursuant to 37 C.F.R. §1.10:

X Patent Application (25 pages including 26 claims)
X Power of Attorney X Oath or Declaration
X Fee Transmittal Letter X Utility Patent Application Transmittal
Letter
X Assignment With Recordation Form X Informal Drawing (2 sheets)
Cover Sheet
X Check for \$424.00 X Check for \$40.00
X Return Receipt Postcard

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

Complete if Known

TOTAL AMOUNT OF PAYMENT (\$) 464

Application Number Not yet assigned
Filing Date Herewith
First Named Inventor Rick Castanho et al.
Examiner Name Not yet assigned
Group Art Unit Not yet assigned
Attorney Docket No. 109140-0005U

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 03-1237
Deposit Account Name Cesar and McKenna, LLP

☒ Charge Any Additional Fee Required Under 37 C.F.R. §§1.16 and 1.17

☐ Applicant claims small entity status See 37 CFR 1.27

2. ☒ Payment Enclosed:
☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
101 740 201 370	Utility filing fee	370	
106 330 206 165	Design filing fee		
107 510 207 255	Plant filing fee		
108 740 208 370	Reissue filing fee		
114 160 214 80	Provisional filing fee		
SUBTOTAL (1) (\$)			370

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
26 - 20** = 6	9	54	
Independent Claims 2 - 3** = 0	42	0	
Multiple Dependent		0	

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
103 18 203 9	Claims in excess of 20		
102 84 202 42	Independent claims in excess of 3		
404 280 204 140	Multiple dependent claim, if not paid		
109 84 209 42	**Reissue independent claims over original patent		
110 18 210 9	**Reissue claims in excess of 20 and over original patent		

SUBTOTAL (2) (\$) 54

**or number previously paid, if greater; For Reissues, see below

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
105 130 205 65	Fee Surcharge - late filing fee or oath		
127 50 227 25	Surcharge - late provisional filing fee or cover sheet		
139 130 139 130	Non-English Specification		
147 2,520 147 2,520	For filing a request for ex parte reexamination		
112 920 112 920*	Requesting publication of SIR prior to Examiner action		
113 1,840 113 1,840*	Requesting publication of SIR after Examiner action		
115 110 215 55	Extension for reply within first month		
116 400 216 200	Extension for reply within second month		
117 920 217 460	Extension for reply within third month		
118 1,440 218 720	Extension for reply within fourth month		
128 1,960 128 980	Extension for reply within fifth month		
119 320 219 160	Notice of Appeal		
120 320 220 160	Filing a brief in support of an appeal		
121 280 221 140	Request for oral hearing		
138 1,510 138 1,510	Petition to institute a public use proceeding		
140 110 240 55	Petition to revive - unavoidable		
141 1,280 241 640	Petition to revive - unintentional		
142 1,280 242 640	Utility Issue fee (or reissue)		
143 460 243 230	Design Issue fee		
144 620 244 310	Plant Issue fee		
122 130 122 130	Petitions to the Commissioner		
123 50 123 50	Processing fee under 37 CFR 1.17(q)		
126 180 126 180	Submission of Information Disclosure Stmt		
581 40 581 40	Recording each patent assignment per property (times number of properties)		40
146 740 246 370	Filing a submission after final rejection (37 CFR 1.129(a))		
149 740 249 370	For each additional invention to be examined (37 CFR 1.129(b))		
179 740 279 370	Request for Continued Examination (RCE)		
169 900 169 900	Request for expedited examination of a design application		
Other fee (specify)			
SUBTOTAL (3) (\$)			40

*Reduced by Basic Filing Fee Paid

SUBMITTED BY

Complete (if applicable)

Name (Print/Type) Edwin H. Paul Registration No. 31,405 Telephone (617) 951-2500
Signature Edwin H. Paul Date November 5, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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